PIK3CA mutations enable targeting of a breast tumor dependency through mTOR-mediated MCL-1 translation

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Therapies that efficiently induce apoptosis are likely to be required for durable clinical responses in patients with solid tumors. Using a pharmacological screening approach, we discovered that combined inhibition of B cell lymphoma-extra large (BCL-XL) and the mammalian target of rapamycin (mTOR)/4E-BP axis results in selective and synergistic induction of apoptosis in cellular and animal models of PIK3CA mutant breast cancers, including triple-negative tumors. Mechanistically, inhibition of mTOR/4E-BP suppresses myeloid cell leukemia–1 (MCL-1) protein translation only in PIK3CA mutant tumors, creating a synthetic dependence on BCL-XL. This dual dependence on BCL-XL and MCL-1, but not on BCL-2, appears to be a fundamental property of diverse breast cancer cell lines, xenografts, and patient-derived tumors that is independent of the molecular subtype or PIK3CA mutational status. Furthermore, this dependence distinguishes breast cancers from normal breast epithelial cells, which are neither primed for apoptosis nor dependent on BCL-XL/MCL-1, suggesting a potential therapeutic window. By tilting the balance of pro- to antiapoptotic signals in the mitochondria, dual inhibition of MCL-1 and BCL-XL also sensitizes breast cancer cells to standard-of-care cytotoxic and targeted chemotherapies. Together, these results suggest that patients with PIK3CA mutant breast cancers may benefit from combined treatment with inhibitors of BCL-XL and the mTOR/4E-BP axis, whereas alternative methods of inhibiting MCL-1 and BCL-XL may be effective in tumors lacking PIK3CA mutations.

INTRODUCTION

Cancers are characterized by genetic and epigenetic alterations that cause disruption of normally balanced growth and survival processes, including those governing growth signaling, cell cycle regulation, and apoptosis (1). Growth signaling and cell cycle pathways have been pharmacologically targeted with some success, but the effectiveness of these strategies has been limited by incomplete and transient therapeutic responses (2, 3). Given this limitation, together with the fact that curative chemotherapies have historically been associated with selective, potent induction of apoptosis in tumors, there is a strong interest in developing strategies to directly target apoptotic pathways in cancer cells (4–6).

Cell-intrinsic apoptosis is a tightly regulated process that is controlled by the balance of pro- and antiapoptotic proteins in the mitochondria (6, 7). There has been a focused effort in the past decade to develop small-molecule inhibitors of the B cell lymphoma–2 (BCL-2) family proteins [such as BCL–extra large (BCL-XL)], BCL-2, and myeloid cell leukemia–1 (MCL-1)—the key antiapoptotic proteins in the mitochondria—on the basis of the observation that some cancer cells may be particularly "primed" for apoptosis relative to nonmalignant cells (6, 8). BH3 mimetics, drugs that specifically bind and inhibit BCL-2 antiapoptotic proteins, are currently being explored as single-agent therapies for the treatment of hematologic malignancies. The observation that these cancers are particularly sensitive to the inhibition of specific BCL-2 family proteins supports this approach, and clinical trials in several indications have yielded substantial response rates in patients with relapsed or refractory disease (4, 9–12). Conversely, studies in various model systems suggest that the single-agent efficacy of BH3 mimetics in solid tumors, including breast cancers, is poor (4, 13, 14). Thus, solid tumors may require combinatorial treatments that include BH3 mimetics together with agents that specifically sensitize cancer cells to their activity by shifting the balance of pro- to antiapoptotic signals (“priming” the cells), creating synthetic dependencies on specific BCL-2 family members.

One such class of agents that may be useful for priming solid tumors to create synthetic lethal dependencies on BCL-2 family proteins are phosphatidylinositol 3-kinase (PI3K)/mammalian target of rapamycin (mTOR) pathway inhibitors (15). PI3K/mTOR pathway inhibitors are undergoing extensive clinical development for breast cancer; however, responses to these agents have generally been modest to date (16, 17). Thus, there is a strong clinical need for both biomarkers of sensitivity and combination approaches that can make these inhibitors more effective (18).

Here, we describe the development of a combinatorial small-molecule screening approach to identify treatments that sensitize solid tumors cells to BH3 mimetics, the application of which revealed a pharmacological strategy to target apoptosis in PIK3CA mutant breast cancers. Definition of the mechanisms underlying the efficacy of this drug combination revealed a tumor lineage-encoded dual dependency on BCL-XL and MCL-1 that can be exploited to drive selective apoptosis induction in breast cancers from diverse molecular subtypes and genetic backgrounds as well as sensitize tumors to standard-of-care chemotherapies.
RESULTS
Targeted therapies sensitize solid tumors to BH3 mimetics in a context-specific manner
We first evaluated the effectiveness of BH3 mimetics as single agents in tumor cells derived from a broad spectrum of tissue types. Using a dual BCL-2/BCL-X<sub>L</sub> inhibitor, ABT1737, we tested 43 cancer cell lines representing 10 different cancer types (Fig. 1A). Although most cell lines derived from solid tumors were insensitive to ABT1737 (growth inhibition of 50% (GI<sub>50</sub> > 1 μM), a reference pair of acute myeloid leukemia cell lines previously reported to be sensitive to BCL-2 inhibition (HL60 and MOLM13) was sensitive to this drug (GI<sub>50</sub> < 1 μM) (19). A sensitivity threshold of 1 μM was defined on the basis of evidence that complete BCL-2/BCL-X<sub>L</sub> inhibition is achieved at this dose (20). To assess the potential use of BH3 mimetics as single agents more broadly, we compiled the data from Cancer Cell Line Encyclopedia (CCLE) and noted in a panel of 660 cell lines that those sensitive to ABT263, a related dual BCL-2/BCL-X<sub>L</sub> inhibitor, were enriched for blood cancers, whereas cells derived from solid tumors were typically insensitive (Fig. 1B). Thus, our studies and those of others confirmed the lack of efficacy of BH3 mimetics as single agents in cellular models of diverse solid tumors.

Next, we sought to identify drugs that sensitize solid tumor cells to BH3 mimetics by altering their apoptotic priming states. Specifically, we focused on inhibitors of the PI3K/mTOR and mitogen-activated protein kinase (MAPK) pathways for three reasons: (i) these pathways are frequently deregulated in solid tumors; (ii) these pathways can be selectively targeted pharmacologically; and (iii) these pathways have the potential to directly regulate apoptotic priming through their inhibitory effects on the proapoptotic proteins as well as their activating effects on the BCL-2 family antiapoptotic proteins (15). A panel of cell lines derived from solid tumors was treated with ABT1737 in combination with a dual PI3K/mTOR inhibitor, BEZ235, or an extracellular signal–regulated kinase (ERK) inhibitor, VX-11e, to identify potentially useful drug combinations (Fig. 1, C and D, and fig. S1, A to D). Although most cell lines could not be sensitized to ABT1737 through PI3K or MAPK pathway inhibition (with on-target inhibition confirmed by immunoblotting), four of the five lines most sensitized by ERK inhibition were derived from colorectal cancers (CRCs), and three of the five lines most sensitized by PI3K/mTOR inhibition were derived from breast cancers (Fig. 1, C and D, and fig. S1, A to D). Notably, the effects of ABT1737 were antagonized by ERK or PI3K/mTOR inhibitors in a subset of cell lines, potentially owing to shared effects on downstream targets (15).

To assess the relative importance of BCL-2 and BCL-X<sub>L</sub> in the CRC cell lines, we treated these lines (COLO205, WiDr, CRC119, and CRC240) with a selective BCL-2 inhibitor, ABT199, or a selective BCL-X<sub>L</sub> inhibitor, WEHI-539, in the presence of dimethyl sulfoxide (DMSO) control or VX-11e. ERK inhibition sensitized these lines to BCL-X<sub>L</sub> inhibition but not BCL-2 inhibition (Fig. 2, A and B). Each of these lines harbored a KRAS or BRAF activating mutation, in agreement with a recent report that used a pooled short hairpin RNA (shRNA) screening approach to identify synergy between MEK and BCL-X<sub>L</sub> inhibition in KRAS mutant cancers (21). Using a similar approach, we assessed the effects of BCL-2 and BCL-X<sub>L</sub> inhibition in the presence of BEZ235 in the subset of sensitized breast cancer (BC) cell lines, revealing that sensitization in this context is also dependent only on inhibition of BCL-X<sub>L</sub> (Fig. 2, C and D).

PI3K/mTOR inhibition cooperates with BCL-X<sub>L</sub> inhibition through suppression of MCL-1
Having established the therapeutic efficacy of PI3K/mTOR plus BCL-X<sub>L</sub> inhibition, we sought to uncover the mechanism(s) by which the observed sensitivity is achieved. To gain insight into the overall apoptotic priming states as well as the specific BCL-2 family dependencies in PIK3CA mutant breast cancers, we performed BH3 profiling on PIK3CA mutant breast cancer cell lines. Briefly, BH3 profiling is an assay that measures depolarization in isolated mitochondria in response to the addition of short peptides that mimic the activity of BCL-2 family proteins. These assays revealed that PIK3CA mutant cell lines were highly primed to undergo apoptosis, as evidenced by strong mitochondrial outer membrane depolarization after treatment with a low concentration of the BIM peptide (1 μM, where typical assay concentrations range from 10 to 100 μM) (Fig. 4A) (8). Furthermore, these cell lines were dependent on both BCL-X<sub>L</sub> and MCL-1, but not BCL-2, as indicated by the fact that NOXA (MCL-1–specific antagonist; normal concentration, 10 to 100 μM) and HRK (BCL-X<sub>L</sub>–specific antagonist; normal concentration, 10 to 100 μM) each caused robust depolarization, whereas BAD (BCL-2/BCL-X<sub>L</sub>–specific antagonist; normal concentration, 10 to 100 μM) resulted in depolarization equal to HRK (Fig. 4A). Given that PIK3CA mutant breast cancers are dually dependent on MCL-1 and BCL-X<sub>L</sub> and that PI3K/mTOR inhibition sensitizes these cells to BCL-X<sub>L</sub> inhibition, we assessed whether PI3K/mTOR inhibition may function through regulation of MCL-1 expression and/or activity. Previous reports have suggested that PI3K/mTOR inhibition can modulate MCL-1 protein amounts in certain cellular contexts (23–26). Immunoblotting of extracts derived from cells treated with DMSO control, single-agent BEZ235 or WEHI-539, or the combination of both drugs revealed that PI3K/mTOR inhibition resulted in the loss of MCL-1 protein expression in the PIK3CA mutant, but not PIK3CA WT cells, whereas the amounts of BCL-X<sub>L</sub>, BCL-2, BIM, BID, and other BCL-2 family proteins were unchanged (Fig. 4B and fig. S3A). Furthermore, in PIK3CA mutant BC cell lines, knockdown of MCL-1 with three independent
Fig. 1. Inhibition of the MAPK and PI3K pathways can sensitize solid tumors to BH3 mimetics in certain tissues. (A and B) Solid tumors are insensitive to single-agent BH3 mimetics; dashed line indicates a sensitivity threshold of 1 μM that was defined on the basis of the evidence that complete BCL-2/BCL-X<sub>L</sub> inhibition is achieved at this dose (20). (A) Short-term (3-day) growth inhibition (GI<sub>50</sub>) assay testing 43 cancer cell lines from 10 different tissue types using a dual BCL-2/BCL-X<sub>L</sub> inhibitor, ABT737. (B) CCLE data of 660 cancer cell lines treated in a GI<sub>50</sub> assay with a dual BCL-2/BCL-X<sub>L</sub> inhibitor, ABT263. Black bars represent solid tumor lines, and red bars represent blood cancers. (C) Synergy score between ABT737 and VX-11e, an ERK inhibitor, in the cell line panel from (A). Synergy score is defined as the ratio of the ABT737 GI<sub>50</sub> value in the absence of VX-11e to the same value in the presence of VX-11e. Additive effects result in a synergy score of zero, whereas synergistic and antagonistic effects yield scores greater than or less than zero, respectively. The GI<sub>50</sub> graph to the right features the top scoring cell line (WiDr) treated with ABT737 in the presence or absence of VX-11e. (D) Synergy score between ABT737 and BEZ235, a PI3K/mTOR inhibitor, in the cell line panel from (A). The GI<sub>50</sub> graph to the right features the top scoring cell line (MDA-MB-453) treated with ABT737 in the presence or absence of BEZ235. For experiments in all panels [except (B)], data are n = 3.
shRNAs phenocopied treatment with BEZ235 in growth and apoptosis assays (Fig. 4, C and D, and fig. S3, B and C). Although we were unable to stably express human or mouse MCL-1 protein in the presence of BEZ235 treatment, we reasoned that if MCL-1 and BCL-X<sub>L</sub> are the most important BCL-2 family antiapoptotic proteins, it should be possible to shift dependency from MCL-1 to BCL-X<sub>L</sub> and vice versa, using pharmacological and genetic approaches. Consistent with this hypothesis, treatment with BEZ235 shifted the dependency of cells to BCL-X<sub>L</sub> in the BH3 profiling assay, phenocopying the effects of MCL-1 knockdown (Fig. 4, E and F, and fig. S3, C and D). Furthermore, BCL-X<sub>L</sub> knockdown shifted the dependency of cells to MCL-1 (Fig. 4G and fig. S3, E and F). Finally, GI<sub>50</sub> and apoptosis assays revealed that both treatment with BEZ235 and genetic inhibition of MCL-1 could be phenocopied using a selective small-molecule inhibitor of MCL-1, A-1210477 (Fig. 4, H and I, and fig. S3G) (27). Together, these data demonstrate that PI3K/mTOR inhibition cooperates with

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**Fig. 2. BCL-X<sub>L</sub> is the relevant target of ABT737 in CRC and breast cancer.** (A and B) The contributions of BCL-2 and BCL-X<sub>L</sub> to efficacy in CRC cells. (A) GI<sub>50</sub> value of a selective BCL-2 inhibitor, ABT199, in four CRC cell lines treated with either vehicle (DMSO) or an ERK inhibitor (VX-11e). (B) GI<sub>50</sub> value of a selective BCL-X<sub>L</sub> inhibitor, WEHI-539, in four CRC cell lines treated with either vehicle (DMSO) or an ERK inhibitor (VX-11e). GI<sub>50</sub> graphs below (A) and (B) are representative cell lines (CRC119 and COLO205) showing the GI<sub>50</sub> shifts, or lack thereof, with combination treatment. (C and D) The contributions of BCL-2 and BCL-X<sub>L</sub> to efficacy in breast cancer cells. (C) GI<sub>50</sub> value of a selective BCL-2 inhibitor, ABT199, in three breast cancer cell lines treated with either vehicle (DMSO) or a PI3K/mTOR inhibitor (BEZ235). (D) GI<sub>50</sub> value of a selective BCL-X<sub>L</sub> inhibitor, WEHI-539, in three breast cancer cell lines treated with either vehicle (DMSO) or a PI3K/mTOR inhibitor (BEZ235). GI<sub>50</sub> graphs below are representative cell lines (BT-20, MDA-MB-453, and MCF7) showing the GI<sub>50</sub> shifts, or lack thereof, with combination treatment. For all panels, error bars show data ± SEM. For experiments in all panels, data are n = 3.
Fig. 3. Breast cancer cell lines with PIK3CA mutations respond to combined inhibition of PI3K/mTOR and BCL-XL. (A) Synergy score between WEHI-539 (BCL-XL inhibitor) and BEZ235 (PI3K/mTOR inhibitor) in a panel of breast cancer cell lines segregated by PIK3CA mutational status. Synergy score is defined as the ratio of the WEHI-539 GI50 value in the absence of BEZ235 to the same value in the presence of BEZ235. Additive effects result in a synergy score of zero, whereas synergistic effects and antagonistic effects yield scores greater than or less than zero, respectively. The GI50 graphs below are representative cell lines (MDA-MB-361 and AU565). The images below the graphs are two-dimensional (2D) growth assays in two representative cell lines (MCF7 and BT-474) treated with a BCL-XL inhibitor (WEHI-539) in combination with a PI3K/mTOR inhibitor (BEZ235). (B) GI50 value of cell lines treated with a BCL-XL inhibitor, WEHI-539, in the presence of a PI3K/mTOR inhibitor, BEZ235, stratified by PIK3CA mutation status. (C) Apoptosis measurements for BCL-XL and PI3K/mTOR dual inhibition reported as the percentage of cells that were annexin V+. (D) BT-20 orthotopic xenografts treated with vehicle, ABT737, BEZ235, or the combination for 21 days, shown as tumor size versus time. n = 11 for all arms except BEZ235 where n = 10. Data were analyzed using two-way analysis of variance (ANOVA) of matched values followed by Bonferroni multiple comparisons test to establish significance (P < 0.05) of the difference between groups at each day of treatment. (E) Waterfall plot for BT-20 orthotopic xenografts calculated as percentage change in tumor volume as compared to tumor size at the initiation of treatment. For all panels, error bars show data ± SEM. For experiments in all panels [except (D) and (E)], data are n = 3.
Fig. 4. *PIK3CA* mutant breast cancers depend on BCL-X<sub>L</sub> and MCL-1 for survival. (A) BH3 profiling of five *PIK3CA* mutant breast cancer cell lines. AUC, area under the curve. (B) Immunoblot of *PIK3CA* mutant and WT cell lines treated with vehicle (DMSO), single-agent PI3K/mTOR inhibitor (BEZ235), single-agent BCL-X<sub>L</sub> inhibitor (WEHI-539), or the combination. CAL51, MDA-MB-453, AU565, and MDA-MB-436 are representative cell lines. P-AKT is at the S473 site and P-S6 is at the S235/236 site. The loading control is vinculin for all cell lines. Doses are indicated in the key. Images are cropped for clarity. (C) GI50 value of a BCL-X<sub>L</sub> inhibitor, WEHI-539, in cells with or without PI3K/mTOR inhibitor (BEZ235) or cells with MCL-1 knockdown without the PI3K/mTOR inhibitor. T47D and BT-20 are representative *PIK3CA* mutant cell lines. GFP, green fluorescent protein. (D) Apoptosis measurements in control cells or MCL-1 knockdown cells treated with vehicle (DMSO), single-agent PI3K/mTOR inhibitor (BEZ235), single-agent BCL-X<sub>L</sub> inhibitor (WEHI-539), or the combination. T47D is a representative cell line. (E) BH3 profiling in a cell line treated with a PI3K/mTOR inhibitor (BEZ235). (F) BH3 profiling in a cell line with MCL-1 knockdown. (G) BH3 profiling in a cell line with BCL-X<sub>L</sub> knockdown. (H) GI50 curve in a *PIK3CA* mutant cell line treated with a BCL-X<sub>L</sub> inhibitor (WEHI-539) and either vehicle (DMSO) or an MCL-1 inhibitor (A-1210477). CAL51 is a representative cell line. (I) Apoptosis measurements in *PIK3CA* mutant cell lines treated with vehicle (DMSO), an MCL-1 inhibitor (A-1210477), a BCL-X<sub>L</sub> inhibitor (WEHI-539), or the combination. BT-20 and CAL51 are representative cell lines. For all panels (excluding immunoblots), error bars show data ± SEM. For all experiments, data are n = 3.
BCL-X<sub>i</sub> inhibition in PIK3CA mutant breast cancer cells through its suppression of MCL-1 protein expression.

**MCL-1 translation is controlled by the mTOR/4E-BP axis in PIK3CA mutant tumors**

To define the mechanism by which PIK3/mTOR inhibition suppresses MCL-1, we first established that MCL-1 is regulated by the PI3K/mTOR pathway at the level of protein translation because neither MCL-1 transcription nor protein stability was affected by BEZ235 treatment. This observation is consistent with the well-established role of this pathway in translational regulation (S4, A and B) (28). Next, to distinguish the contributions of PI3K versus mTOR to MCL-1 regulation, we treated three PIK3CA mutant breast cancer cell lines with a panel of PI3K/mTOR pathway inhibitors (BEZ235, BKM-120, BYL-719, rapamycin, Torin1, AZD2014, and MLN-0128), each in combination with WEHI-539 (BCL-X<sub>i</sub> inhibitor). Whereas the pan- and p110c-selective PI3K inhibitors BKM-120 and BYL-719, rapamycin, Torin1, AZD2014, and MLN-0128, respectively, were unable to consistently sensitize cells to WEHI-539, the adenosine 5'-triphosphate (ATP)-competitive mTORC1/2 inhibitors Torin1, MLN-0128, and AZD2014 fully phenocopied the effects of BEZ235, and the allosteric partial mTORC1 inhibitor rapamycin exerted variable effects across cell lines (Fig. 5A). These findings are reminiscent of studies establishing that ATP-competitive mTORC1/2 inhibitors robustly suppress cap-dependent translation through 4E-BP protein dephosphorylation downstream of mTORC1, whereas rapamycin’s effects on this axis are variable (29). Given that MCL-1 is translated in a cap-dependent manner, as evidenced by the fact that pharmacological disruption of the eIF4F complex with the small-molecule inhibitor 4EiG1 suppressed MCL-1 protein expression (Fig. 5B) and that cooperation with WEHI-539 was associated with suppression of 4E-BP1 phosphorylation by MLN-0128 (Fig. 5C), we hypothesized that mTOR inhibition blocks MCL-1 expression through 4E-BP-mediated suppression of cap-dependent translation. Using the m7GTP pull-down assay, we observed that mTOR inhibition disrupted elf4F complex stability and cap-dependent translation through 4E-BP, as evidenced by the loss of association between elf4E and elf4G1 and an increase in association between elf4E and elf4BP, after MLN-0128 treatment in PIK3CA mutant cells (Fig. 5D and fig. S4C).

Finally, given that BEZ235’s clinical development has been halted because of poor pharmacokinetics and toxicity and that its activity in mutant breast cancers is limited by other factors (30, 31), we sought to validate the use of this class of agents in combination with BCL-X<sub>i</sub> inhibitors (30, 31). Like BEZ235, MLN-0128 was able to induce apoptosis in mutant cell lines (but not WT), suppress MCL-1 expression, and inhibit the growth of orthotopic, PIK3CA mutant TNBC xenografts when combined with BCL-X<sub>i</sub> inhibition (Fig. 5, E to G, fig. S4, D and E, and table S3). We observed mild loss of weight in one mouse from the MLN-0128 arm of the study (1 day of missed dosing; see Materials and Methods for details) as well as two mice from the combination-treated arm of the study (3 days of missed dosing each). However, most of the mice did not lose substantial weight over the course of the treatment (fig. S4F). Together, these results indicate that PI3K/mTOR inhibition cooperates with BCL-X<sub>i</sub> inhibition in PIK3CA mutant cells through mTOR/4E-BP-mediated suppression of cap-dependent MCL-1 translation and that tumor growth suppression can be achieved using clinically viable mTORC1/2 inhibitors in vivo.

**PIK3CA WT breast cancers treated with direct MCL-1 and BCL-X<sub>i</sub> inhibitors undergo apoptosis**

Given the robustness of apoptosis induction in PIK3CA mutant cells treated with mTOR plus BCL-X<sub>i</sub> inhibitors, we sought to characterize the apoptosis regulation occurring in PIK3CA WT cell lines to understand why the combination was ineffective in this context. BH3 profiling results from PIK3CA WT cell lines were indistinguishable from those from PIK3CA mutant breast cancer cells. Like mutant lines, WT lines appeared to be primed for apoptosis and dually dependent on MCL-1 and BCL-X<sub>i</sub> (Fig. 6A). MCL-1 knockdown in PIK3CA WT cell lines created a synthetic dependence on BCL-X<sub>i</sub> in growth and apoptosis assays, resulting in submicromolar potency of WEHI-539 (Fig. 6, B and C, and fig. S5, A to C). Furthermore, BH3 profiling in WT cell lines in the presence of MCL-1 knockdown detected a shift toward BCL-X<sub>i</sub> dependency (Fig. 6D and fig. S5C), and reciprocally, BCL-X<sub>i</sub> knockdown shifted the dependency of cells to MCL-1 (Fig. 6E and fig. S5, D and E). Consistent with the hypothesis that PIK3CA WT breast cancers depend on both BCL-X<sub>i</sub> and MCL-1, treatment with selective inhibitors of both these targets, WEHI-539 and A-1210477, respectively, was highly effective in growth and apoptosis assays (Fig. 6, F and G, and fig. S5F). As predicted by BH3 profiling, BCL-2 does not play an important prosurvival role in this context, as evidenced by the fact that BCL-X<sub>i</sub> plus MCL-1 inhibition did not appreciably affect the sensitivity of PIK3CA WT cell lines to ABT199, a selective BCL-2 inhibitor (fig. S5G).

In a model of PIK3CA WT TNBC (MDA-MB-436), tumor growth inhibition was achieved after combined inhibition of MCL-1 (using CRISPR/Cas9-mediated knockout, necessitated by the current unavailability of a direct, bioavailable small-molecule inhibitor of MCL-1) and BCL-X<sub>i</sub> (using ABT737), whereas each treatment alone had no effect (Fig. 6H and tables S3 and S4). Collectively, these results reveal that, like PIK3CA mutant breast cancers, PIK3CA WT cancers are primed for apoptosis and exhibit a dual dependence on MCL-1 and BCL-X<sub>i</sub>, the inhibition of which induces apoptosis.

Given these results, we hypothesized that the lack of efficacy between mTOR and BCL-X<sub>i</sub> inhibitors in PIK3CA WT cells may be based on the fact that mTOR can only modulate MCL-1 expression in the PIK3CA mutant context, a notion supported by our finding that MCL-1 expression is stable after mTOR inhibition in WT lines (Fig. 4B). To understand why mTOR inhibition fails to suppress MCL-1 expression in this context, we began by verifying that MLN-0128 treatment results in a loss of 4E-BP1 phosphorylation in these cells, consistent with our findings in PIK3CA mutant cells (Fig. 6I). Given this, alongside the fact that MCL-1 is translated in a cap-dependent manner in WT cells (Fig. 6J), we reasoned that mTOR inhibition may simply fail to disrupt elf4F complex activity in these cells despite its regulation of 4E-BP phosphorylation. m7GTP pull-down assays revealed that MLN-0128 treatment was unable to disrupt elf4F complex activity, as indicated by the relatively stable association of elf4E and elf4G1 and by the diminished drug-induced association of elf4E with 4E-BP1, in PIK3CA WT cell lines relative to PIK3CA mutant cell lines (Fig. 6K and fig. S5H). Consistent with previous studies demonstrating multiple levels of elf4F regulation, these results demonstrate that cap-dependent MCL-1 translation is rate-limited by mTOR/4E-BP activity in PIK3CA mutant cells, but that in WT cells, it is limited by other aspects of elf4F complex regulation, suggesting that future studies to elucidate these mechanisms may yield indirect strategies to target MCL-1 in these tumors (32, 33).
Fig. 5. MCL-1 translation is controlled by the mTOR/4E-BP axis in PIK3CA mutant tumors. (A) GI_{50} value of a selective BCL-X$_L$ inhibitor, WEHI-539, in three breast cancer cell lines treated with either vehicle (DMSO), a dual PI3K/mTOR inhibitor (BEZ235), a pan-PI3K inhibitor (BKM-120), a p110$a$ isoform-specific PI3K inhibitor (BYL-719), a partial allosteric mTORC1 inhibitor (rapamycin), and three mTORC1/2 inhibitors (Torin1, AZD2014, and MLN-0128). (B) Immunoblot of MCL-1 in a PIK3CA mutant cell line treated with DMSO or an inhibitor of cap-dependent translation, 4EGI-1. Images are cropped for clarity. (C) Immunoblot of P-4E-BP1 and total 4E-BP1 in four cell lines treated with vehicle or an mTORC1/2 inhibitor (MLN-0128). Images are cropped for clarity. (D) Immunoblot of 4EBP1, eIF4E, and eIF4GI in total cell lysates or m7GTP pull-down in a PIK3CA mutant cell line treated with vehicle (DMSO) or an mTORC1/2 inhibitor (MLN-0128). Images are cropped for clarity. (E) Apoptosis measurements in a PIK3CA mutant cell line treated with vehicle (DMSO), one of two mTORC1/2 inhibitors (MLN-0128 or AZD2014), a BCL-X$_L$ inhibitor (WEHI-539), or the combination of WEHI-539 with either MLN-0128 or AZD2014. (F) Immunoblot of MCL-1 in a PIK3CA mutant cell line treated with vehicle, two doses of an mTORC1/2 inhibitor (MLN-0128), a BCL-X$_L$ inhibitor (WEHI-539), or the combination of MLN-0128 and WEHI-539 (2 μM). Images are cropped for clarity. (G) BT-20 orthotopic xenografts treated with vehicle (n = 7), ABT737 (n = 7), MLN-0128 (n = 9), or the combination (n = 8) for 21 days, shown as tumor size versus time. Data were analyzed using two-way ANOVA of matched values followed by Bonferroni multiple comparisons test to establish significance (P < 0.05) of the difference between groups at each day of treatment. For all panels (except immunoblots), error bars show data ± SEM. For experiments in all panels [except (G)], data are n = 3.
Fig. 6. **PIK3CA** WT breast cancers respond to a BCL-X<sub>L</sub> inhibitor in combination with a direct MCL-1 inhibitor. (A) BH3 profiling of three breast cancer cell lines. (B) G<sub>0</sub> value of a BCL-X<sub>L</sub> inhibitor, WEHI-539, in control cells or cells with MCL-1 knockdown. (C) Apoptosis measurements by annexin V binding in control cells or MCL-1 knockdown cells treated with vehicle (DMSO) or single-agent BCL-X<sub>L</sub> inhibitor (WEHI-539). HCC1143 and HCC1395 are representative cell lines. (D) BH3 profiling in a cell line with MCL-1 knockdown. (E) BH3 profiling in a cell line with BCL-X<sub>L</sub> knockdown. (F) G<sub>0</sub> curves in a PIK3CA WT cell line treated with a BCL-X<sub>L</sub> inhibitor (WEHI-539) and either vehicle (DMSO) or an MCL-1 inhibitor (A-1210477). HCC1143 is a representative cell line. (G) Apoptosis measurements in PIK3CA WT cell lines treated with vehicle (DMSO), an MCL-1 inhibitor (A-1210477), a BCL-X<sub>L</sub> inhibitor (WEHI-539), or the combination. HCC1143 and SKBR3 are representative cell lines. For (A) to (G), data are <i>n</i> = 3. (H) MDA-MB-436 orthotopic xenografts stably expressing Cas9 along with either sgCtrl or sgMCL-1 and treated with vehicle (sgCtrl, <i>n</i> = 6; sgMCL-1, <i>n</i> = 7) or ABT737 (sgCtrl, <i>n</i> = 5; sgMCL-1, <i>n</i> = 6) for 21 days, shown as tumor size versus time. Data were analyzed using two-way ANOVA of matched values followed by Bonferroni multiple comparisons to establish significance (<i>P</i> < 0.05) of the difference between groups at each day of treatment. To the right is an immunoblot confirming MCL-1 knockdown in the sgMCL-1 cells before the start of the xenograft experiment. Images are cropped for clarity. (I) Immunoblot of P-4E-BP1 (S65) and total 4E-BP1 in two cell lines treated with vehicle or an mTORC1/2 inhibitor (MLN-0128). Images are cropped for clarity. (J) Immunoblot of MCL-1 in a PIK3CA WT cell line treated with DMSO or an inhibitor of cap-dependent translation, 4EGI-1. Images are cropped for clarity. (K) Immunoblot of 4E-BP1, eIF4E, or eIF4G1 in total cell lysates or m<sub>7</sub>GTP pull-down in a PIK3CA WT cell line treated with vehicle (DMSO) or an mTORC1/2 inhibitor (MLN-0128). Images are cropped for clarity.
Additional considerations guide the potential clinical translation of combined mTORC1/2 and BCL-X<sub>L</sub> inhibition

We sought to investigate three additional areas of relevance to the clinical translation of therapies targeting the BCL-X<sub>L</sub> and mTOR/MCL-1 axes: (i) the dependence of primary human breast tumors on BCL-X<sub>L</sub> and MCL-1; (ii) the potential of mTORC1/2 inhibition to exacerbate on-target thrombocytopenia secondary to BCL-X<sub>L</sub> inhibition; and (iii) the potential to combine mTOR/MCL-1 plus BCL-X<sub>L</sub> targeting with standard-of-care chemotherapies. In the first area, we used conditional reprogramming to briefly expand human patient–derived breast tumor cells obtained with surgical resection to provide sufficient numbers of cells for analysis and then subjected these cultures to BH3 profiling (table S5) (34). Like established breast cancer cell lines, primary tumors were highly primed for apoptosis and specifically dependent on MCL-1 and BCL-X<sub>L</sub> (Fig. 7A and fig. S6A). In contrast, normal breast epithelial cells obtained from reduction mammoplasty and immortalized using the human telomerase catalytic subunit, with (p53-inactive) or without (p53-active) the SV40 early region (HMLE and HME, respectively), were substantially less primed for apoptosis and dependent on BCL-2 instead of BCL-X<sub>L</sub> and MCL-1, as evidenced by their sensitivity to the BAD peptide and insensitivity to the HRK peptide (Fig. 7B) (35). This result is consistent with a growing body of evidence suggesting that normal cells in adults lack apoptotic priming and suggests that normal epithelial cells should be insensitive to combined BCL-X<sub>L</sub> and PI3K/mTOR inhibition, a hypothesis we verified experimentally using GI<sub>50</sub> and apoptosis assays (Fig. 7, C and D) (6, 36). In the second area, to address the possibility that mTOR inhibition may potentiate thrombocytopenia resulting from BCL-X<sub>L</sub> inhibition, we isolated platelets from two human donors and treated them with a BCL-X<sub>L</sub> inhibitor (WEHI-539) in the presence of vehicle (DMSO), BEZ235, or MLN-0128. We found that none of these combinations increased platelet sensitivity to BCL-X<sub>L</sub> inhibition, a result that is in contrast with the marked sensitization of PIK3CA mutant breast cancer cells treated with this combination (Fig. 7E). In the third area, given that combined BCL-X<sub>L</sub> and MCL-1 inhibition shifts the balance of pro- to antiapoptotic signals in the mitochondria, we reasoned that these combinations may also sensitize breast cancer cells to standard-of-care chemotherapies that exploit apoptosis as part of their mechanisms of action. Direct inhibition of MCL-1 and BCL-X<sub>L</sub> was effective when combined with the anthracycline DNA-intercalating agent doxorubicin and the topoisomerase inhibitor etoposide in both PIK3CA mutant and WT TNBC cell lines in GI<sub>50</sub> and apoptosis assays (Fig. 7, F and G, and fig. S6B). Similarly, indirect inhibition of MCL-1 using BEZ235 in combination with a BCL-X<sub>L</sub> inhibitor sensitized PIK3CA mutant TNBC cells to doxorubicin and etoposide (Fig. 7H and fig. S6C). MCL-1 plus BCL-X<sub>L</sub> inhibition also sensitized HER2<sup>+</sup> breast cancer cells to lapatinib, a small-molecule HER2 kinase inhibitor that drives BIM-dependent apoptosis (Fig. 7I and fig. S6D) (37). Finally, consistent with the observation that tamoxifen and fulvestrant are weak inducers of apoptosis in vitro, we failed to observe cooperation between these drugs and MCL-1 plus BCL-X<sub>L</sub> inhibition in estrogen receptor<sup>+</sup> breast cancer cells (fig. S6E) (38). Collectively, these results demonstrate that apoptosis induction by standard-of-care chemotherapies is often enhanced through inhibition of the BCL-X<sub>L</sub> and mTOR/MCL-1 axes.

Finally, we investigated two observations arising from recent studies in the literature relevant to the findings presented here. First, a recent study described synergy between BEZ235 and ABT737 using elegant ovarian cancer spheroid culture models and then extended these findings to three breast cancer cell lines (39). The authors proposed that synergy between these agents in ovarian cancer cells was a result of up-regulation of BCL-2 after treatment with BEZ235, suggesting that the same mechanism may explain the observed synergy in breast cancer cells. We probed lysates from breast cancer cells treated with either vehicle or BEZ235 for BCL-2 protein expression. This analysis revealed no increase in BCL-2 expression upon treatment with BEZ235 (figs. S3A and S6F). When considered alongside the fact that selective BCL-2 inhibition does not sensitize cells to BEZ235 (Fig. 2C), it can be concluded that the synergy between BEZ235 and ABT737 observed in breast cancer cells is independent of BCL-2. This distinction may be important because it implies that selective BCL-2 inhibitors would be unlikely to have activity in breast cancer when combined with mTORC1/2 inhibitors. Second, two recent studies have demonstrated synergy between direct MCL-1 and BCL-X<sub>L</sub> inhibitors in breast cancer cell lines (27, 40). To preliminarily assess the long-term activity of direct versus indirect MCL-1 targeting, we cultured PIK3CA mutant breast cancer cells with WEHI-539, A-1210477, BEZ235, WEHI-539 plus A-1210477, or WEHI-539 plus BEZ235. When combined with WEHI-539, indirect targeting of MCL-1 using BEZ235 stably suppressed growth for the entirety of the 90-day culture period (fig. S6G). In contrast, direct targeting of MCL-1 in combination with BCL-X<sub>L</sub> only delayed cellular outgrowth for ~25 days in culture, with a similar effect observed in PIK3CA WT cells (fig. S6, G and H). Although the mechanisms underlying this differential long-term activity are unclear, these data nevertheless suggest that indirect targeting of MCL-1 may be more efficacious than direct targeting of MCL-1 in combination with BCL-X<sub>L</sub> inhibitors.

DISCUSSION

By demonstrating that mTOR pathway inhibitors fail to induce efficient apoptosis in PIK3CA mutant breast cancers because of the redundant prosurvival role of BCL-X<sub>L</sub>, we provide a potential explanation for the modest responses observed in patients treated with these drugs (16–18). Furthermore, we demonstrate that the efficacy of these agents can be markedly improved in the PIK3CA mutant context, which represents around 35% of all breast cancers, through simultaneous inhibition of BCL-X<sub>L</sub> (41). This strategy yields tumor regressions using low doses of mTOR and BCL-2/BCL-X<sub>L</sub> inhibitors. For the case of BCL-2/BCL-X<sub>L</sub> inhibitors, these doses are 5- to 10-fold lower than the equivalent doses required to cause dose-limiting thrombocytopenia in human and large animal models, which are important considerations given the dose-limiting toxicities observed in human clinical trials with these and related agents (16, 42, 43). This evidence, coupled with the fact that various mTORC1/2 inhibitors as well as the BCL-2/BCL-X<sub>L</sub> inhibitor ABT263 are already in clinical trials, suggests that the findings described here may be well positioned for near-term translation (16, 17). This finding also adds to a body of recent literature describing approaches to sensitize PIK3CA mutant breast cancers to PI3K/mTOR pathway inhibitors, for example, by using cyclin-dependent kinase 4 (CDK4)/CDK6 inhibitors or by targeting multiple nodes within the pathway (44, 45). The fact that these recently described combination therapies target cell cycle regulation and growth signaling, respectively, whereas the strategy described here targets mitochondrial apoptosis suggests that future studies that directly compare or combine these distinct approaches are warranted.

Our studies also demonstrate that MCL-1 is regulated by cap-dependent translation downstream of the mTOR/4E-BP axis in PIK3CA mutant tumors and that, by contrast, cap-dependent MCL-1 translation...
is regulated independently of this axis in PIK3CA WT breast cancers, suggesting that alternative regulators of the eIF4F complex may be relevant therapeutic targets in this context (15, 33, 46). Future studies that define these targets and associated regulatory mechanisms are therefore of high interest because they may identify therapeutic strategies for targeting MCL-1 indirectly in the PIK3CA WT setting. Indirect MCL-1 targeting...
strategies may be particularly important from a translational perspective because direct targeting of MCL-1 gives rise to rapid therapeutic resistance in vitro. Furthermore, there are currently no bioavailable inhibitors that have been demonstrated to target MCL-1 in humans, and a body of literature suggests that such agents may be difficult to tolerate owing to MCL-1’s physiological essentiality, its role in hematopoietic stem cell survival, and its potential roles outside of mitochondrial apoptosis regulation (47, 48).

Finally, these results are particularly interesting in the context of TNBC, a disease subtype where therapeutic options are limited, because we have shown that dual inhibition of the mTOR/MCL-1 and BCL-XL axes promotes tumor regression in vivo while sensitizing these tumors to standard-of-care chemotherapies (49). Our findings may also be relevant in the setting of acquired resistance because recent reports suggest that breast cancers often acquire PIK3CA mutations during the development of resistance to first-line therapies, including endocrine therapies, suggesting that mTOR plus BCL-XL inhibition may be a compelling therapeutic approach in this setting (50). More broadly, by defining key roles for MCL-1 and BCL-XL as selective regulators of the apoptotic network in breast cancer, this work lays the foundation for rational strategies built around direct or indirect inhibition of these targets in specific disease subtypes.

MATERIALS AND METHODS
Study design
This study was designed to test the hypothesis that inhibition of pathways that affect cellular apoptotic priming, PI3K/mTOR and MAPK, has the potential to sensitize solid tumors to apoptosis induction by BH3 mimetics. We demonstrated in PIK3CA mutant breast cancers, using cellular models and in vivo orthotopic xenograft models, that the combination of mTORC1/2 inhibition with BCL-XL inhibition suppresses growth and induces apoptosis. We showed in cellular models and patient samples that this is effective because of a lineage-encoded tumor dependency on MCL-1 (whose translation can be inhibited using mTORC1/2 inhibitors) and BCL-XL. Sample sizes and P values are indicated in the text, figure legends, or figures. For xenograft studies, using the sample size and power function in the JMP statistical software (SAS Institute Inc.), a group size of n = 7 per treatment arm was estimated to be required to reliably detect a statistically relevant value (P < 0.05), by 50% change with 80% confidence, given the anticipated 30% variability for the tumor models used (α = 0.05, SD = 0.3, confidence of 0.8, and s/δ of 0.50). This estimate is based on one-way ANOVA followed by the Student-Newman-Keuls test and is in accordance with current literature in the field. Mice were randomized to treatment groups once tumors attained volumes of 0.17 to 0.25 cm³. The xenograft studies were not blinded.

Xenograft tumor studies
All procedures were performed as approved by the Institutional Animal Care and Use Committee at Duke University. Xenograft tumors were initiated in the axial mammary gland of female NU/NU mice by subcutaneous injection (0.1 cm³) of 3 × 10⁶ BT-20 cells or 1 × 10⁶ sgCtrl or sgMCL-1 MDA-MB-436 cells in a 1:1 mixture of serum-free medium and Matrigel (BD Biosciences). Tumors were measured three times weekly with calipers, and body weight and behavior were assessed at the time of measurement. Tumor volume was calculated as A × B² / 2, where A is the longer of perpendicular axes. When tumors attained 0.17 to 0.25 cm³ volume, mice were randomized to daily intraperitoneal injections of vehicle [10% DMSO/90% polyethylene glycol, molecular weight 400 (PEG-400)] or ABT737 (25 mg/kg), as well as daily gavage with vehicle (10% N-methyl-pyrrolidinone/90% PEG-400) or BEZ235 (10 mg/kg), or MLN-0128 (0.3 mg/kg). For sgCtrl and sgMCL-1, mice were randomized to daily intraperitoneal injection of vehicle (10% DMSO/90% PEG-400) or ABT737 (50 mg/kg). After 21 days of treatment, animals were euthanized and tumors were excised. Behavioral changes (hyperactivity) were noted in mice receiving ABT737 treatment. No major toxicities were observed in any of the treatment groups; however, if a mouse dropped 15% of its body weight, it was given a treatment holiday until the weight returned to the normal range. Tumor growth was analyzed (GraphPad Prism 6) by exponential growth curve analysis and by two-way ANOVA of matched values followed by Bonferroni multiple comparisons test to establish significance (P < 0.05) of the difference between groups at each day of treatment. Data for waterfall plots were calculated as percent change in tumor volume as compared to the size at the initiation of treatment.

Statistical analysis of breast cancer subtypes
Pearson’s χ² test was performed on the mutation status of each gene from table S1 under the null hypothesis that the joint probability distribution of the cell counts in a 2D contingency table is the product of the row and column marginals. P values were obtained by simulation using mutation binary data (1 = WT and 0 = mutant). Simulation was done by random sampling from each specific contingency table with given marginals, where the sampled marginals were strictly positive. A robust P value was then computed using the Monte Carlo test with 10,000 iterations. A significance level of α = 0.05 represents the probability of obtaining a type 1 error (false positives).

Statistical analysis
Unless otherwise specified, Student’s t tests or, for grouped analyses, one-way ANOVA with Tukey’s post hoc test was performed, and P < 0.05 was considered significant. Results are presented as means ± SEM.

SUPPLEMENTAL MATERIALS
www.sciencetranslationalmedicine.org/cgi/content/full/8/369/369ra175/DC1
Materials and Methods
Fig. S1. Solid tumors can be sensitized to BH3 mimetics.
Fig. S2. Breast cancer cell lines were stratified by genotype and histological subtype.
Fig. S3. Direct inhibition of MCL-1 phenocopies PI3K pathway inhibition.
Fig. S4. MCL-1 is not regulated transcriptionally or posttranslationally by PI3K/mTOR.
Fig. S5. PIK3CA WT breast cancers respond to direct MCL-1 inhibition.
Fig. S6. MCL-1 and BCL-XL targeting has therapeutic potential.
Table S1. Molecular and histological characterization of the breast cancer cell lines from fig. S2C.
Table S2. Sequences of shRNA clones.
Table S3. Raw tumor measurements for xenograft studies (provided as an Excel file).
Table S4. Sequences of sgRNA clones.
Table S5. ER status, HER2 status, and PIK3CA mutation status (when available) of patient samples.

REFERENCES AND NOTES

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**PIK3CA mutations enable targeting of a breast tumor dependency through mTOR-mediated MCL-1 translation**

(December 14, 2016)


Editor's Summary

**Sneak attack on breast cancer's defense**

The usual goal of cancer treatment is to kill malignant cells, not just slow down their growth. A class of drugs called BH3 mimetics serves this purpose by inhibiting antiapoptotic proteins and thus helping drive the cells toward apoptosis (programmed cell death). MCL-1 is an antiapoptotic protein that is not targeted by currently bioavailable BH3 mimetics, and it is often responsible for resistance to these drugs. Anderson et al. have discovered that breast cancers with the commonly observed PIK3CA mutations can be treated with mTOR inhibitors to suppress MCL-1, leaving the cells vulnerable to BH3 mimetics and subsequent induction of apoptosis, both directly and in combination with chemotherapy.